

1 **Effect of *Bacillus coagulans* Unique IS-2 in Inflammatory Bowel Disease (IBD): A**  
2 **Randomized Controlled Trial**

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12  
13 **Abstract**

14  
15 Probiotic intervention is an important approach for the treatment and health  
16 restoration in inflammatory bowel disease (IBD). The preventive and therapeutic effects of  
17 probiotic *Bacillus coagulans* Unique IS-2 in different diseases have been well recognized but  
18 its efficacy in IBD is unreported. Therefore, a study was conducted to assess the effect of  
19 *Bacillus coagulans* Unique IS-2 in IBD patients. Subjects those satisfying compliance  
20 criteria were recruited in the study and given either probiotic *B. coagulans* Unique IS-2 or  
21 placebo for 4 weeks as per randomization. Survival of the given probiotic strain in GI,  
22 presence of beneficial gut bacteria, serum cytokines, serum serotonin and serum dopamine,  
23 symptoms of disease, physical, behavioral and psychological parameters of the subjects were  
24 evaluated before and after intervention. In this study *B. coagulans* Unique IS-2 was well  
25 tolerated with no severe adverse events in IBD patients. *B. coagulans* Unique IS-2  
26 demonstrated good survival in GI tract by significantly high detection in probiotic treated  
27 group (p <0.001). Significant enhancement in beneficial *Lactobacilli* was observed in  
28 probiotic treated group (p <0.01). NGS data and metagenomic analysis also showed an  
29 increase in the abundance of bacterial genera *Bacillus*, *Lactobacillus*, *Bifidobacterium*,  
30 *Faecalibacterium*, *Bacteroides*, *Megamonas*, *Lachnospira*, *Blautia* and *Alistipes* in the post  
31 intervention samples in the treatment group. A decrease in the abundance of bacterial  
32 genera *Sutterella*, *Dialister*, *Roseburia* and *Megasphaera* was observed in post intervention  
33 samples in the treatment group. Increased secretion of cytokine IL-10 and variable decrease  
34 in the secretion of IL-6, IL-1 $\beta$ , TNF- $\alpha$ , IL -17 and IL -23 was observed in in the probiotic  
35 treated group. Post intervention change in serum serotonin and serum dopamine was not  
36 significant in both the groups. A reduction in the severity of disease symptoms and  
37 improvement in the physical, behavioral and psychological parameter was observed in the  
38 probiotic treated group. The observed results demonstrated that *B. coagulans* Unique IS-2  
39 with SMT was effective in adult IBD patients. Study was registered with Clinical Trials  
40 Registry India (CTRI) - (registration reference- REF/2016/09/012181, CTRI registration  
41 No.- CTRI/2019/11/022087).

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43 **Keywords:** Inflammatory bowel disease (IBD), Gut Microbiota, Probiotic, *Bacillus*  
44 *coagulans*, Cytokines, Randomized Controlled Trial (RCT)

## Introduction

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Inflammatory bowel disease (IBD) is a gastrointestinal (GI) disease characterized by chronic inflammation. The incidence of IBD is rising all over the world <sup>1</sup> including Asia <sup>2</sup>. Across the globe India is projected to have one of the highest IBD burden in spite of having lower prevalence as compared to the West <sup>3, 4</sup>. IBD significantly diminishes the quality of life of the affected individuals by disturbing health, daily work, education, and social relationships which cause a considerable impact on health and economy <sup>5</sup>. Etiology and pathogenesis of IBD is multi-factorial and involves genetics, ethnicity, diet, lifestyle, environmental factors, immunity and gut microbiota of the individual <sup>6, 7</sup>. Studies have reported the higher stress level and disturbance in psychology in IBD patients may trigger the inflammation, increases the severity of disease <sup>8</sup>.

The human gut microbes referred to as gut microbiota which has demonstrated physiological functions associated with homeostasis, nutrition, immunity and defense of the host. Normally the gut maintains a homeostatic condition but in IBD this homeostasis gets disturbed and leads to uncontrolled intestinal inflammation which involves tissue disruption and inflammation of the gut wall <sup>9</sup>. Down-regulation of the immune responses may allow the damaged site to heal and reset the normal physiological functions <sup>6</sup>. Alteration in the gut microbiota, referred to as dysbiosis, plays a key role in the pathogenesis of IBD <sup>10, 11</sup>. Usually dysbiosis occurs in both inflamed and non-inflamed areas in IBD patients <sup>12</sup> and is well recognized sign of IBD <sup>13</sup>. Studies have reported the modification of gut microbiota with probiotic intervention to attenuate inflammatory activity and prevent relapses in IBD and therefore probiotics are considered as an important approach for treatment and health restoration in IBD <sup>14 11</sup> by relieving intestinal dysbiosis and clinical efficacy on GI inflammation <sup>10</sup>.

GI disorders modulate the gut function and influence the emotional and cognitive factors including mood, anxiety, pain and negative effects, decision making, restlessness. Therefore, the evaluation of these factors has turn out to be an important factor which needs to be monitored to reduce the severity of the disease. This change in emotional and cognitive factors may be associated with serum serotonin and dopamine in IBD patients <sup>15</sup>. The lowering of serotonin levels can result in mood disorders, such as anxiety or depression and hence consider playing an important role in regulating physical and psychological symptoms <sup>16</sup> and activation of immune response and gut inflammation <sup>15</sup>. Dopamine is also important neurotransmitter, which affect behavior, psychology, immune functions and gastrointestinal

80 functions etc. Studies reported its unregulated production in crohn's disease and ulcerative  
81 colitis <sup>17</sup>. Gut microbiota and probiotics have demonstrated the effect on behavior,  
82 psychology, mood, and cognition <sup>18, 19</sup>.

83 *Bacillus* strains are stable at room temperature and hence gaining a lot of attention. *B.*  
84 *coagulans* is a spore-forming, gram-positive, non-pathogenic, facultative anaerobic, lactic  
85 acid-producing bacteria and resistant to high temperatures <sup>20</sup>. *B. coagulans* Qualified  
86 Presumption of Safety (QPS) list <sup>21</sup> and has been reported Generally Recognized as Safe  
87 (GRAS) and considered safe by European Union Food Safety Authority (EFSA) and US  
88 Food and Drug Administration (FDA). *B coagulans* Unique IS-2 (ATCC PTA-11748,  
89 MTCC 5260,) is a shelf-stable, resistant to bile acids and acidic conditions of the stomach,  
90 clinically established probiotic strain and with proven safety and efficacy in the treatment of  
91 constipation, diarrhea <sup>22, 23, 24, 25</sup> bacterial vaginosis <sup>26</sup>, and irritable bowel syndrome (IBS) <sup>27</sup>.  
92 In this study we assessed the effect of *B coagulans* Unique IS2 on adults with inflammatory  
93 bowel disease (IBD). Survival of the given probiotic *B coagulans* Unique IS2 in the gut,  
94 presence of beneficial gut bacteria, serum cytokines, serotonin and dopamine, IBD  
95 symptoms, physical and psychological parameters were evaluated before and after  
96 intervention.

## Methodology

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- 100 ▪ **Study design** : Randomized, double blind, placebo controlled trial
  - 101 ▪ **Site**: Outpatients from a tertiary care hospital.
  - 102 ▪ **Ethical approval and written informed consent**: Ethical approval for the study was  
103 obtained from Institute Ethics Committee of AIIMS, New Delhi, India (Ref –  
104 IEC.478/07.10.2016.OP-7). Expected duration of participation, benefits that might be  
105 expected from the study, associated risks, maintenance of confidentiality of records etc  
106 were explained to each participant and a written informed consent was obtained before  
107 enrollment in the study.
  - 108 ▪ **Subject / Selection of patients**: Clinically diagnosed adult patients of ulcerative colitis  
109 (UC) with mild to moderate severity between age group (18–60 years) under standard  
110 Medical treatment (SMT) patients were included. In this study the UC patient were  
111 included. Simple Clinical Colitis Activity Index (SCCAI) score<sup>28</sup> was used to quantify  
112 UC disease activity before and after intervention. SCCAI score was calculated by  
113 evaluating different disease symptoms including bowel frequency, urgency of defecation,  
114 blood in stool, abdominal cramps and general wellbeing of the patients. SMT for the  
115 enrolled patient in this study was 5-aminosalicylic acid (5-ASA) - Sulfasalazine (3 grams/  
116 day) or Mesalamine 800 mg orally 3 times a day.
  - 117 ▪ **Inclusion criteria**: (a) Adult patient clinically diagnosed with Ulcerative Colitis (UC), (b)  
118 patients of either sex of the age range from 18-60 year, (d) patient ready to participate in  
119 the study and giving written consent, (e) patient visiting to Out Patient Department (OPD)  
120 of AIIMS for treatment.
  - 121 ▪ **Exclusion criteria**: (a) Patient diagnosed with any kind of carcinoma, (b) Patient  
122 diagnosed with any other gastrointestinal disease, (c) Patient suffering from  
123 Immunodeficiency disorder, (d) Patient is taking any probiotic drug/ or having consumed  
124 probiotic in the last one month, (e) patient not taking food through oral route, (f) Patient  
125 having undergone any kind of gastrointestinal surgery in the last three month.
  - 126 ▪ **Enrolment of patients**: After establishing the eligibility on screening, a total of 100  
127 patients were recruited and randomized. Patients were called for baseline visit (day 0).  
128 Medical history, medications, physical examination and vital signs were assessed during  
129 hospital visit. *B. coagulans* Unique IS-2 (2billion-CFU/capsule) twice in day (total 4  
billion CFU / per day) or placebo (matching in size and appearance, contained only

130 excipient, maltodextrin) twice in a day was given to qualified patients for 4 weeks  
131 followed by observation and telephonic follow-up of dose compliance.

132 ■ **Sample size determination:** Statistical software STATA (Version 14, USA) was used for  
133 sample calculation. To identify presence of proportion difference the assumption was  
134 made that minimum of 118 subjects required to be screened and 94 patients required  
135 assessing the endpoint in the study which will reject the null hypothesis.

136 ■ **Intervention:** Fully characterized FDA / DCGI/ FSSAI approved probiotic strain *Bacillus*  
137 *coagulans* Unique IS-2 (ATCC PTA-11748, MTCC 5260) was used as an intervention  
138 agent in this study for 4 weeks along with placebo as per randomization. The patients  
139 were explained to consume one capsule post meal twice a day for 4 weeks along with the  
140 SMT. The compliance was ensured by telephonic follow-up and scheduled hospital visits.

141 ■ **Randomization:** Computerized permuted blocks randomization was done in 1:1 ratio and  
142 generated by nQuery clinical trial design platform / Sample Size Software. It consisted of  
143 two phases: screening, baseline visit 1 (week 0 / day 0), visit 2 (1 week after completing  
144 intervention / week 5). The randomization codes were kept blinded.

145 ■ **Outcome measures:** The efficacy outcomes were measured by (i) detection of *Bacillus*  
146 *coagulans* Unique IS-2 after administration, (ii) Change in beneficial Lactobacillus and  
147 other gut microbiota after probiotic intervention, (iii) Change in pro and anti-inflammatory  
148 cytokines after probiotic intervention, (iv) Change in symptoms of the disease, (v) Change  
149 in serum concentration of serotonin and dopamine after intervention, (vi) Changes in  
150 physical and psychological parameters. Each participant was requested to answer the  
151 designed questionnaire for the assessment of physical, behavioral and psychological  
152 parameters as per the Hopkins Symptom Checklist (HSCL): A self-report symptom  
153 inventory<sup>29, 30</sup>. This questionnaire was prepared to evaluate several physical parameters  
154 including muscle stiffness, heartburn, headache, shakiness, sleep problem, difficulty in  
155 completing work, procrastination, overwhelming, feeling of depression, trouble relaxing,  
156 nervousness, poor concentration, restlessness and quick temper. All the symptoms and  
157 parameters were evaluated based on scores, the decrease in the score indicating the  
158 reduction in the severity of symptoms and increase in the score indicating an augmentation  
159 in the severity of the symptom.

160 ■ **Safety evaluation:** Safety of investigational product was assessed by adverse event  
161 reporting. During hospital visit of the patient physical examination, monitoring of vital  
162 signs and routine laboratory investigations.

- 163   ▪ **Sample collection and processing:** A stool sample and a blood sample were collected  
164 from each enrolled subject before and after intervention. A fresh stool sample was  
165 collected in a sterile container and a blood sample in a plain vial was collected from each  
166 enrolled subject. After collection, the stool samples were aliquoted and processed for  
167 microbial identification and bacterial DNA isolation. Blood samples were processed for  
168 serum separation by centrifuging at 3000-4000 rpm for 5-10 min. The serum samples  
169 were used for cytokine assays, serotonin and dopamine concentration.
- 170   ▪ **Microbial culture and identification:** *Bacillus coagulans* Unique IS2, and *Lactobacillus*  
171 *spp.* were checked in each patient before and after intervention using different bacterial  
172 media. Mueller Hinton (MH) broth and agar (Difco Laboratory, Detroit, MI) was used for  
173 the cultivation of *Bacillus* strains. The stool sample were incubated for 24 hours at 37°C in  
174 MH broth and then plated on MH agar plate. Simultaneously Chrome *Bacillus* agar (Hi  
175 Media) was also used to isolate the *Bacillus coagulans* Unique IS2 after 24 hours at 30°C  
176 incubation. The isolated colonies were identified by standard microbial identification  
177 methods (conventional culture and biochemical method), matrix-assisted laser desorption  
178 /ionization- (MALDI-and the mass analyzer is time-of-flight (TOF) analyzer  
179 (bioMérieuxInc, USA) and molecular method. de Man, Rogosa and Sharpe (MRS) broth  
180 and agar (Difco Laboratory, Detroit, MI) was used to grow *Lactobacillus*. The stool  
181 sample were incubated for 48 hours at 37°C in MRS broth in Anaerobic Glove Box  
182 (Anaerobic Workstation-Whitley DG250-DonWhitley Scientific, United Kingdom) in  
183 anaerobic condition and then plated on MRS agar plate.
- 184   ▪ **Molecular identification of Bacteria:** *B. coagulans* was identified via 16S rRNA  
185 sequencing using direct PCR with the published primers: forward 5'-  
186 ACAGGGCTTTCAGATACCCG-3' and reverse 5'-CGGGGATCCGTCCATCAAAA-3'.  
187 Sequence similarity was checked using BLAST, NCBI and it was 96% identical. Primers  
188 were standardized at different temperatures using 54 °C, 55 °C and 56 °C degrees for  
189 PCR. A known strain of *B. coagulans* Unique IS2 was procured from manufacturer and  
190 used as a positive control. The reaction mixture consisted of 0.5ul of dNTP (10mM), 0.5ul  
191 of DNA template (177ng/ul), 2.5 ul of reaction buffer (10X) with MgCL<sub>2</sub>, 0.5ul of each of  
192 primers (pm/ul), 0.5ul of 5U/ulTaq DNA polymerase (Thermo scientific, USA) and 20 µl  
193 of nuclease free H<sub>2</sub>O. Denaturation was done at 94 °C for 5min, followed by 30 cycles  
194 consisting of 94°C for 1 min, 56°C for 1 min, and 72°C for 1 min which has been ended  
195 by a final amplification step at 72 °C for 8min, using the PCR machine (Applied

196 Biosystems, USA). PCR product was analyzed by the electrophoresis in 1% agarose gel  
197 and gel bands were observed and recorded using via Gel Doc System (BioRad, USA).

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### 199 **Next generation sequencing (NGS) of Gut microbiota-**

200 ■ **Fecal samples collection and DNA isolation:** Fecal sample were collected in sterile  
201 container and samples were processed for DNA isolation. Total DNA was extracted by  
202 using QIAamp DNA Stool Mini Kit (Qiagen) with some modification to increase DNA  
203 yield. The quality and quantity of DNA was checked by Nanodrop (TECAN Nano quant).  
204 16S rRNA amplicon sequencing was performed using Illumina MiSeq® sequencing  
205 system (Illumina, San Diego, CA, USA).

206 ■ **Sequencing Methodology:** Bacterial 16S rRNA hyper variable regions V3-V4 were  
207 amplified using V3-V4F (CCTACGGGNGGCWGCAG) and V3-V4R  
208 (GACTACHVGGGTATCTAATCC) primers. 25ng of DNA was used for PCR  
209 amplification using KAPA HiFi HotStart Ready Mix. The PCR was performed with  
210 standard protocol and the amplicons were purified using Ampure beads to remove unused  
211 primers. The amplicon product was PCR amplified with Illumina primers to generate  
212 sequencing libraries followed by Ampure bead cleanup. Qubit dsDNA High Sensitivity  
213 assay kit was used for Libraries preparation. Sequencing was done using Illumina Miseq  
214 with 2x300PE V3 sequencing kit. The sequence data quality was checked using FastQC  
215 and MultiQC software. All the samples have passed QC threshold (Q30>80%).

216 ■ **Data Analysis:** The analysis was done as per standard methodology<sup>31</sup>. Only QC passed  
217 reads were transferred into mothur and the pairs were aligned. The contigs were screened  
218 for errors and ambiguous once were rejected and duplicates were merged. High quality  
219 contigs were used. Chimeric sequences were identified by a known reference and  
220 UCHIME algorithm was used. Using Silva v.132 database final filtered contigs were  
221 classified into taxonomical outlines and clustered into Operational Taxonomic Unit  
222 (OTUs) and abundance was calculated. Alpha diversity was assessed for richness and  
223 relative abundance of bacteria. Alpha diversity indices Chao1 and ACE were used for  
224 richness and Shannon, Simpson, InvSimpson and Fisher were used for both richness and  
225 relative abundance. Kruskal-Wallis rank sum test was carried out to identify statistically  
226 significant difference among OTUs abundance between groups.

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228 ▪ **ELISA for Cytokines, serum serotonin and dopamine:**

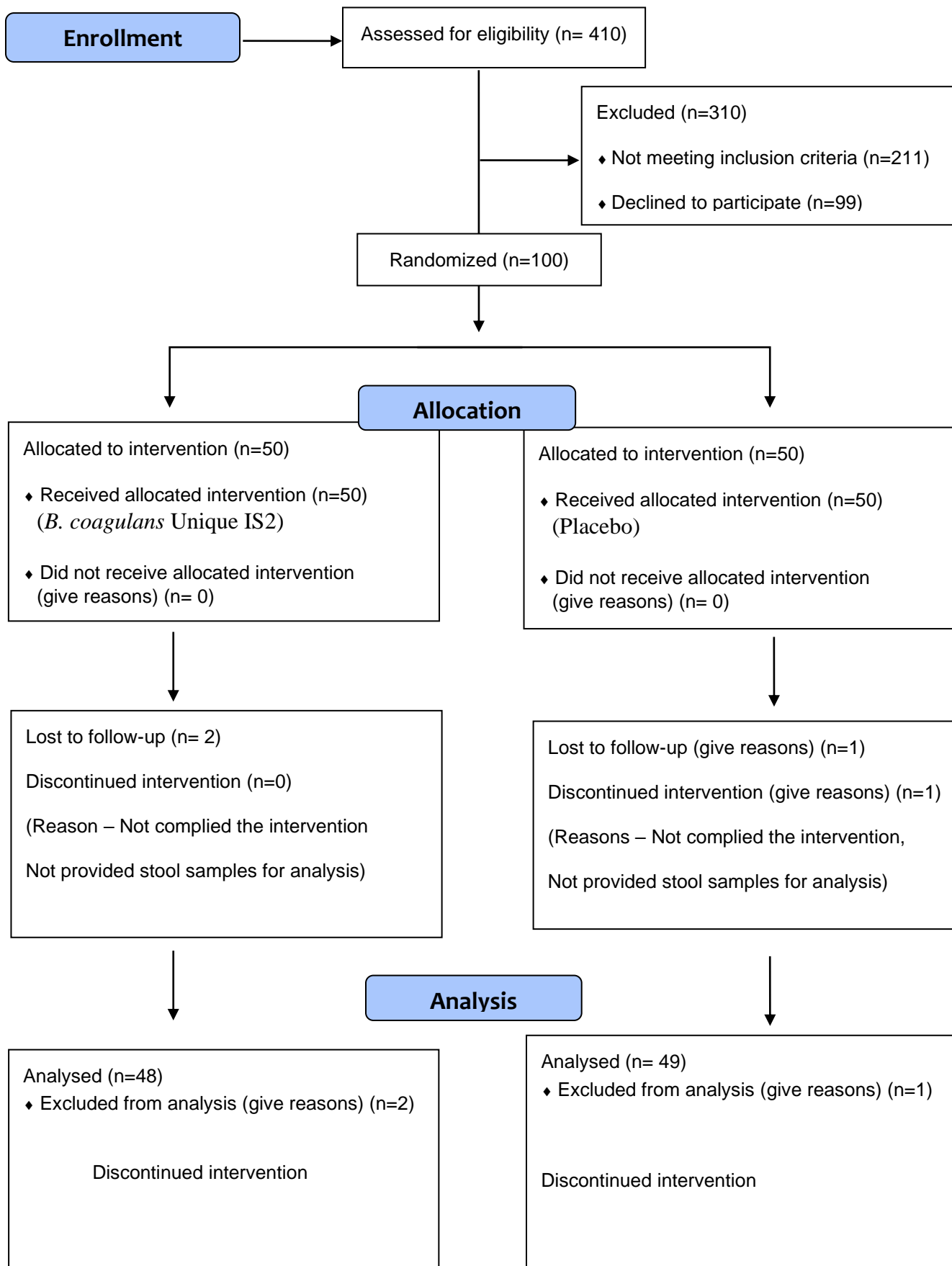
229 Serum samples were tested and quantified for different cytokines including IL10, IL6,  
230 IL17, IL23, IL 1 $\beta$ , TNF, serum serotonin and dopamine as per standard protocol and  
231 manufacture's instruction (Fine Test, Fine Biotech Co. Ltd). In brief, pre-coated 96 well  
232 plates were used. Provided standards, test samples were added to wells and incubated at  
233 37C for 90 min. After incubation, wells were washed twice with the freshly prepared wash  
234 buffer and secondary antibody were added into each well and incubated at 37C for 60 min.  
235 Further, wells were washed twice and HRP-Streptavidin was added and incubated at 37C  
236 for 30 min. Multiple washing was done with the wash buffer to wash unbound conjugates.  
237 TMB substrates were used to visualize HRP enzymatic reaction. Absorbance at 450 nm  
238 has been measured using the microplate reader (Nanodrop, Nanoquant Infinite M 200 Pro  
239 (Texan, Austria GmbH) and the concentration were calculated.

240 **Data analysis:** Here we have reported the analysis of data of the patients of ulcerative  
241 colitis (UC). Data from 48 subjects in treatment group and 49 subjects in placebo group  
242 were analysed. Statistical analysis was done by STATA statistical software (Version 14,  
243 USA). Statistical evaluation of parameters was assessed by Chi-square/two sample *t*-test  
244 and p value < 0.05 was considered as statistically significant.



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### 'CONSORT' Flow Chart



## Results

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### Survival of *Bacillus coagulans* Unique IS2 in the gut

283 The samples of the enrolled subjects in the both treatment and placebo group were  
284 assessed for the presence of *Bacillus coagulans* Unique IS-2 before and after treatment. No  
285 *Bacillus coagulans* was detected in pre-treatment sample in both the groups. In the probiotic  
286 treated group *Bacillus coagulans* was detected in 65.21 % subjects by microbial culture  
287 method and 76.08 % subjects by molecular method which was significant as compared to  
288 placebo ( $p < 0.0001$ ). The samples from the subjects of placebo group were also assessed for  
289 the presence of *Bacillus coagulans* with no *Bacillus coagulans* being detected in placebo  
290 group by both microbial and molecular methods. These results indicate that the probiotic  
291 strain *Bacillus coagulans* Unique IS-2 was able to survive in GI tract of recruited IBD  
292 patients.

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### Detection of beneficial *Lactobacilli* by microbial culture method

295 The samples of the enrolled IBD patients in both probiotic treated group and placebo  
296 group were assessed for the presence of beneficial *Lactobacilli*, before and after intervention  
297 by microbial culture method. Results revealed that in the probiotic treated group, beneficial  
298 *Lactobacilli* were detected in 54.34 % and 78.26 % patients before and after intervention  
299 respectively. In the placebo group beneficial *Lactobacilli* were detected in 52.08 % and  
300 47.91 % of patients before and after intervention respectively. The detection of beneficial  
301 *Lactobacilli* after intervention in the probiotic treated group was significantly high ( $p < 0.01$ )  
302 which indicated that the probiotic strain *Bacillus coagulans* Unique IS-2 was able to enhance  
303 the presence of beneficial *Lactobacilli* in recruited IBD patients.

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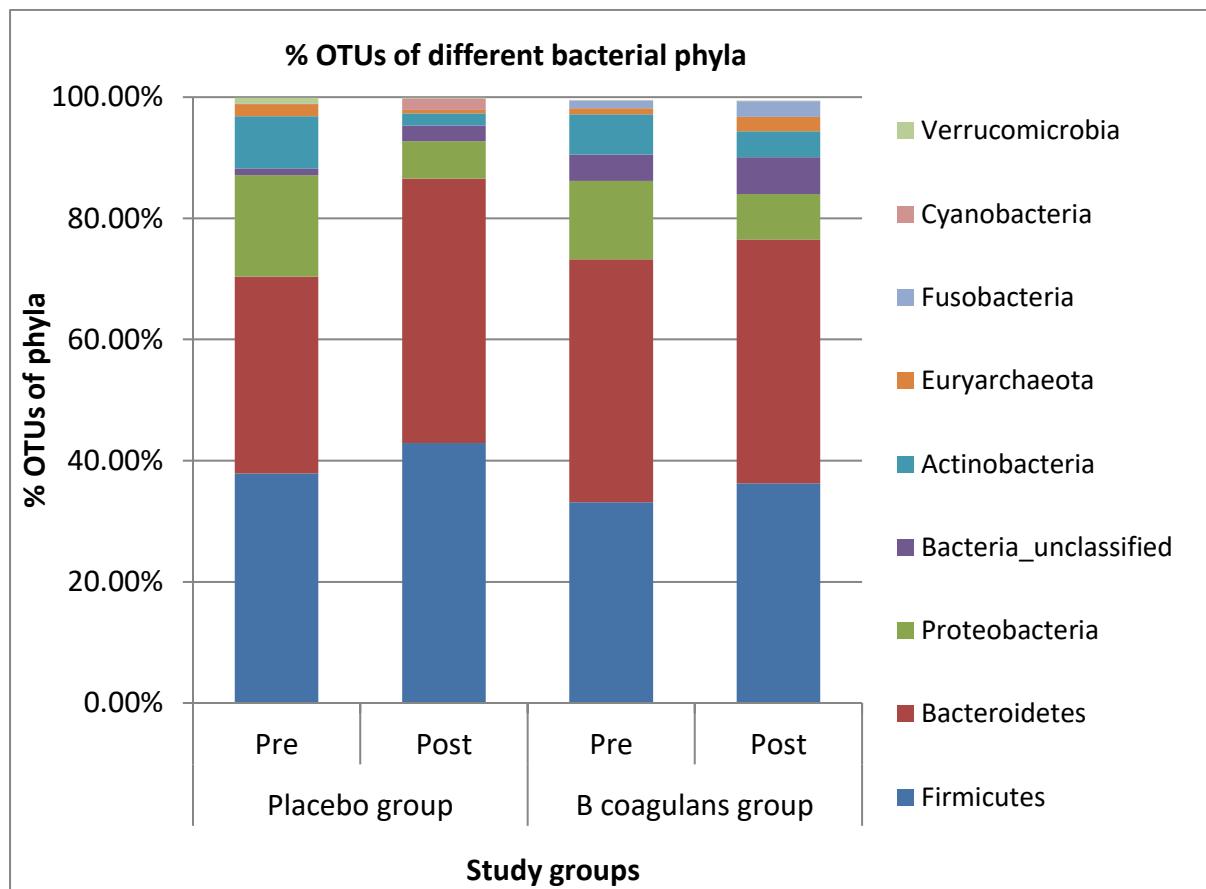
### Next generation Sequencing (NGS) and Metagenomic analysis of gut microbiota

305 **Phyla:** Operational Taxonomic Unit (OTUs) were calculated for different bacterial taxon  
306 including phyla, class, orders, families, genera and species before and after intervention in  
307 both probiotic treated and placebo group. Analysis revealed Phylum Firmicutes  
308 Bacteroidetes, Proteobacteria, Actinobacteria, Euryarchaeota and Verrucomicrobia were  
309 abundant in both the groups. The average % OTUs of phylum *Firmicutes* were in 33.13%  
310 and 36.23% in pre and post intervention sample respectively in treatment group and 37.90%  
311 and 42.89% in placebo group. The average % OTUs of phylum *Bacteroidetes* were in  
312 40.12% and 40.28% in pre and post intervention sample respectively in treatment group and  
313 32.49% and 40.28% in pre and post intervention sample respectively in placebo group.

315 OTUs of phylum *Bacteroidetes* were significantly increased in post intervention sample in  
316 placebo group. The average % OTUs of phylum *Proteobacteria* were in 12.90% and 7.50%  
317 in pre and post intervention sample respectively in treatment group and 16.75% and 6.21% in  
318 placebo group. The average % OTUs of phylum *Actinobacteria* were in 6.64% and 4.29% in  
319 pre and post intervention sample respectively in treatment group and 8.62% and 2.04% in  
320 placebo group. Decrease in OTUs of phylum *Proteobacteria* and phylum *Actinobacteria*  
321 was significant in the treatment group. The average % OTUs of phylum *Euryarchaeota* were  
322 in 1.02% and 2.36% in pre and post intervention sample respectively in treatment group and  
323 2.03% and 0.55% in placebo group. OTUs of phylum *Euryarchaeota* were increased in post  
324 intervention sample in treatment group and decreased in placebo group. The average %  
325 OTUs of phylum *Verrucomicrobia* were in 0.01% and 0.04% in pre and post intervention  
326 sample respectively in treatment group and 0.96% and 0.10% in placebo group. OTUs of  
327 phylum *Verrucomicrobia* were increased in post intervention sample in treatment group and  
328 decreased in placebo group. The other bacterial phyla detected were *Lentisphaerae*,  
329 *Patescibacteria*, *Acidobacteria*, *Chloroflexi*, *Epsilonbacteraeota*, *Parabasalia*, *Cynobacteria*,  
330 *Fusobacteria*, *Gemmatimonadetes*, *Synergistetes*, *Tenericutes* and the OTUs of these bacterial  
331 phyla were low in both groups.

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333 **Figure 1: Abundance of major bacterial phyla**

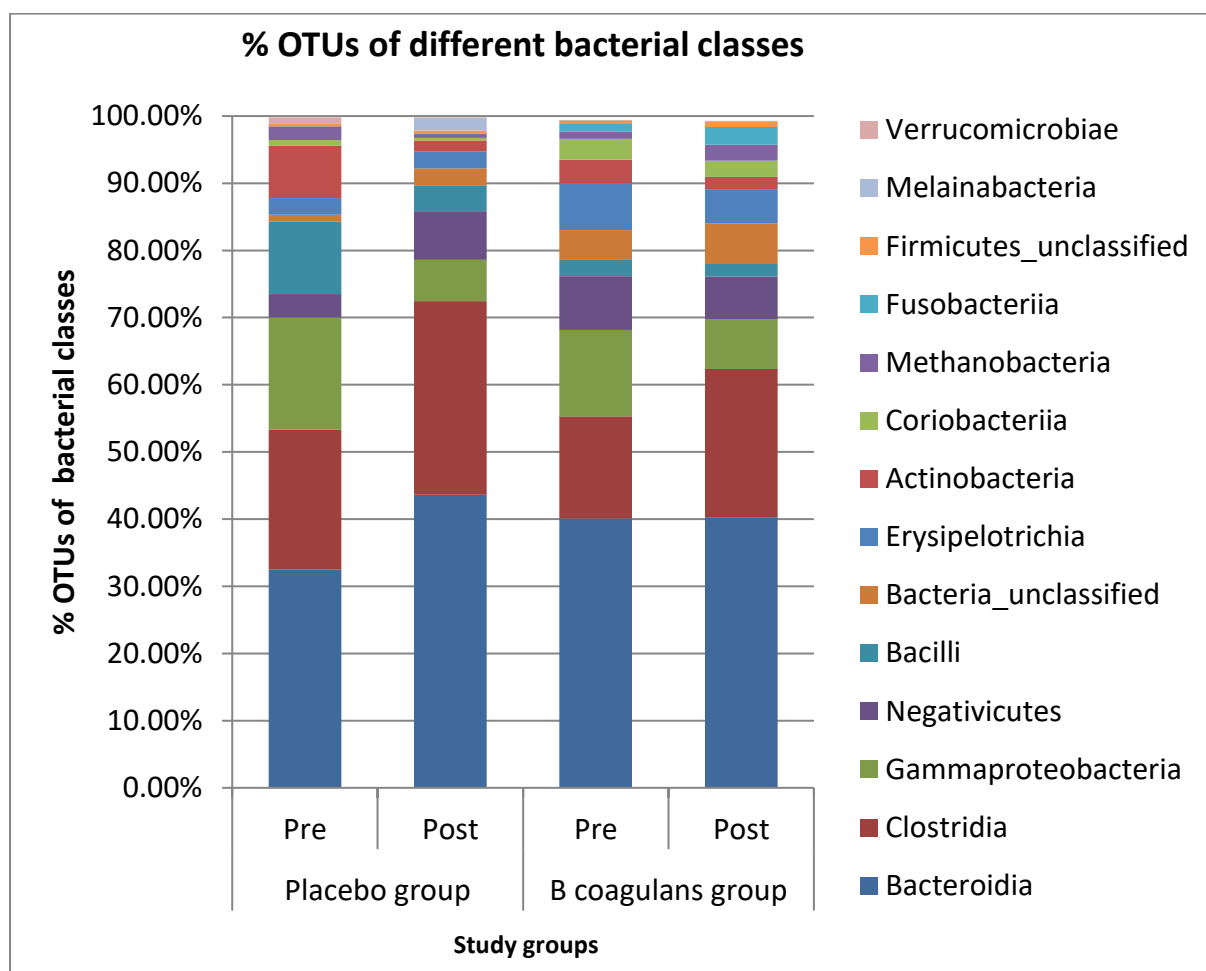


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335 **Class:** The average % OTUs of class Bacteroidia were 40.11% and 40.27% in pre and post  
336 intervention sample respectively in treatment group and 32.48% and 43.63% pre and post  
337 intervention sample respectively in placebo group. An increase in OTUs of class Bacteroidia  
338 was significant in the placebo group. The average % OTUs of class Clostridia were 15.20%  
339 and 22.09% in pre and post intervention sample respectively in treatment group and 20.79%  
340 and 28.82% pre and post intervention sample respectively in placebo group. Increase in  
341 OTUs of class Clostridia in post intervention samples was observed in both treatment and  
342 placebo group. The average % OTUs of class Gammaproteobacteria were 12.87 % and  
343 7.41% in pre and post intervention sample respectively in treatment group and 16.72% and  
344 6.16% respectively in placebo group. A decrease in OTUs of class Gammaproteobacteria in  
345 post intervention samples was observed in both treatment and placebo group. The average %  
346 OTUs of class Negativicutes were 7.96% and 6.35% in pre and post intervention sample  
347 respectively in treatment group and 3.49% and 7.22% respectively in placebo group. A  
348 decrease was observed in OTUs of class Negativicutes in post intervention samples of  
349 treatment group and an increase in post intervention samples in placebo group. The average

350 % OTUs of class Methanobacteria were 1.02% and 2.36% in pre and post intervention  
 351 sample respectively in treatment group and 2.03% and 0.55% in placebo group. An increase  
 352 in OTUs of class Methanobacteria in post intervention samples was observed in treatment  
 353 group and decrease in OTUs of class Methanobacteria in placebo group. The average %  
 354 OTUs of class Actinobacteria were 3.49% and 1.81% in pre and post intervention sample  
 355 respectively in treatment group and 7.76% and 1.64% pre and post intervention sample  
 356 respectively in placebo group. A decrease in OTUs of class Actinobacteria was observed in  
 357 post intervention samples in both treatment and placebo group.

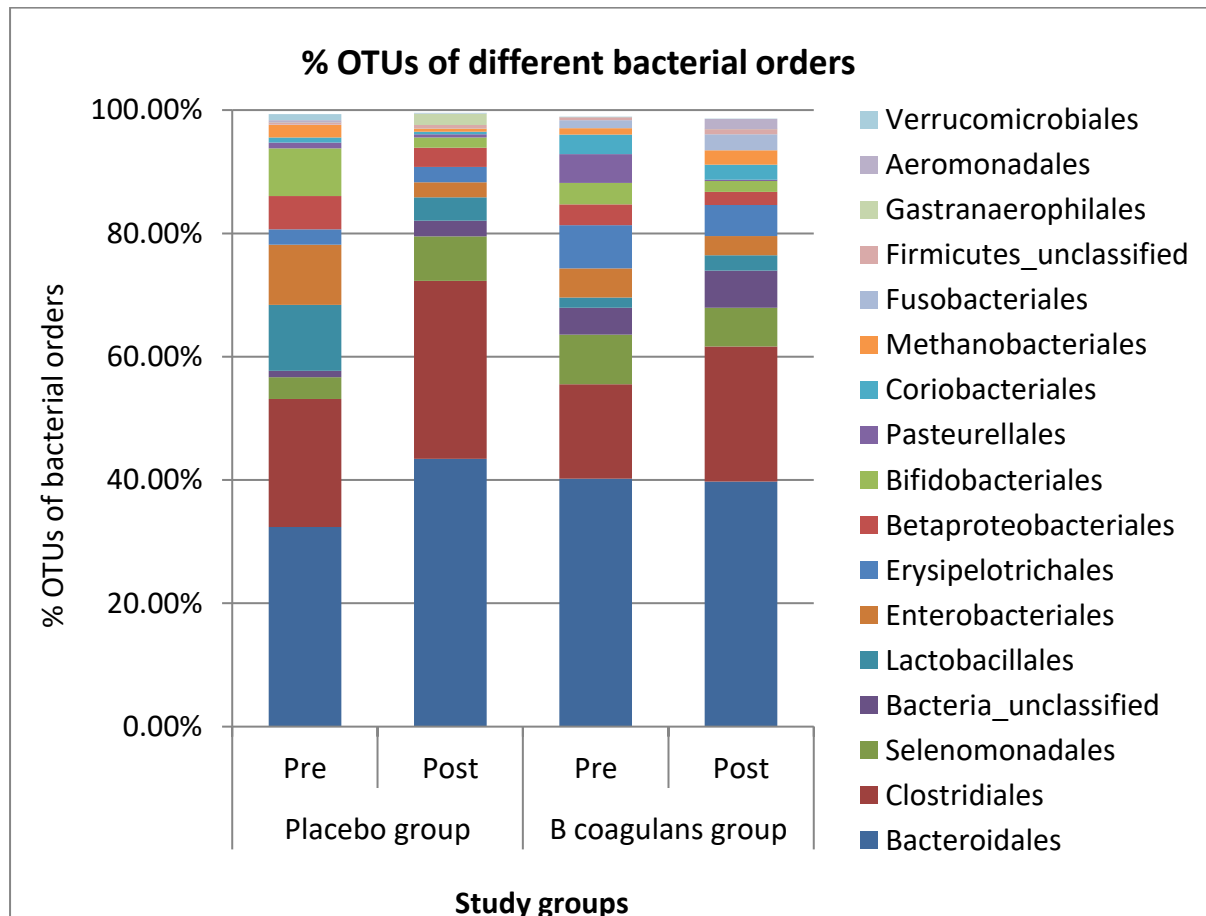
358 **Figure 2: Abundance of major bacterial classes**



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 360 **Order:** The average % OTUs of order Bacteroidales were 39.94% and 40.03% in pre and  
 361 post intervention sample respectively in treatment group and 32.37% and 43.46% in placebo  
 362 group. A significant increase in OTUs of order Bacteroidales in post intervention samples  
 363 was observed in placebo group. The average % OTUs of order Clostridiales was 15.19%  
 364 and 22.08% in pre and post intervention sample respectively in treatment group and 20.79%  
 365 and 28.81% in placebo group. An increase in OTUs of order Clostridiales in post

366 intervention samples was observed in both treatment and placebo group. The average %  
 367 OTUs of order Selenomonadales was 8.02% and 6.30 % in pre and post intervention sample  
 368 respectively in treatment group and 3.49% and 7.22% in placebo group. A decrease in OTUs  
 369 of order Selenomonadales in post intervention samples in treatment group and increase in  
 370 post intervention samples in placebo group. The average % OTUs of order Lactobacillales  
 371 was 1.67% and 2.49% in pre and post intervention sample respectively in treatment group  
 372 and 10.66% and 3.82% in placebo group. An increase in OTUs of order Lactobacillales was  
 373 observed in post intervention samples in treatment group and decrease in post intervention  
 374 samples in placebo group. The average % OTUs of order Erysipelotrichales was 7.00% and  
 375 5.03% in pre and post intervention sample respectively in treatment group and 2.48 % and  
 376 2.48% in placebo group. A decrease in OTUs of order Erysipelotrichales in post intervention  
 377 samples in treatment group and increase in placebo group were observed. The average %  
 378 OTUs of order Bifidobacteriales were 1.74% and 3.55% in pre and post intervention sample  
 379 respectively in treatment group and 7.71% and 1.61% respectively in placebo group. An  
 380 increase in OTUs of order Bifidobacteriales in post intervention samples in treatment group  
 381 and decrease in placebo group were observed.

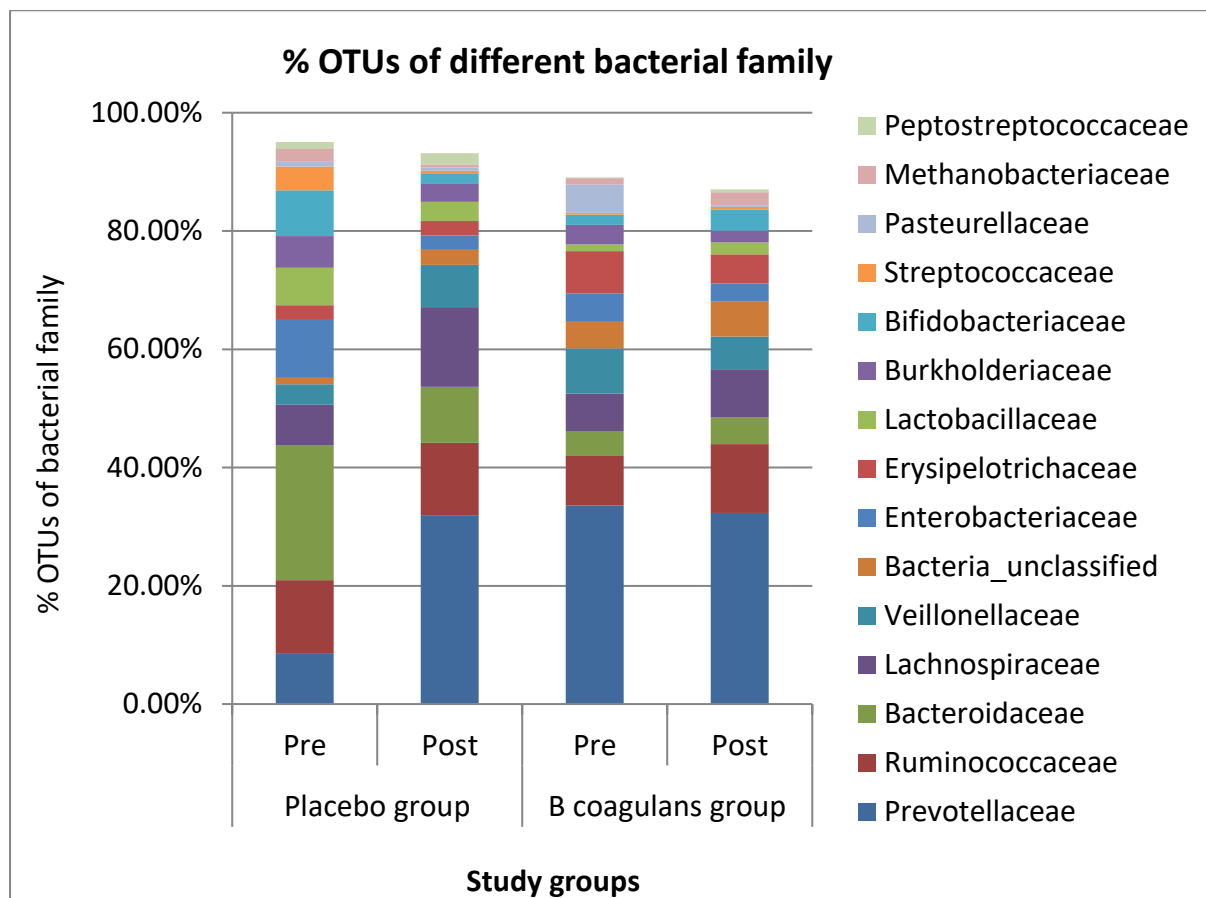
382 **Figure 3: Abundance of major bacterial orders**



383

384 **Family:** The average % OTUs of family Prevotellaceae was 32.66% and 33.20% in pre and  
385 post intervention sample respectively in treatment group and 8.62% and 31.96% in placebo  
386 group. Significant increase was observed in OTUs of family Prevotellaceae in post  
387 intervention samples in placebo group. The average % OTUs of family Ruminococcaceae  
388 was 8.22% and 11.99% in pre and post intervention sample respectively in treatment group  
389 and 12.34% and 12.23% in placebo group. Increase in OTUs of family Ruminococcaceae in  
390 post intervention samples in treatment group and decrease in placebo group. The average %  
391 OTUs of family Bacteroidaceae was 4.09% and 4.58 % in pre and post intervention sample  
392 respectively in treatment group 22.85% and 9.44% in placebo group. Increase in OTUs of  
393 family Bacteroidaceae in post intervention samples in treatment group and decrease in  
394 placebo group were observed. The average % OTUs of family Lachnospiraceae was 6.14 %  
395 and 8.40 % in pre and post intervention sample respectively in treatment group and 6.79 %  
396 and 13.48 % respectively in placebo group. Increase in OTUs of family Lachnospiraceae in  
397 post intervention samples in both treatment and placebo group. The average % OTUs of  
398 family Veillonellaceae was 7.47% and 5.72 % in pre and post intervention sample  
399 respectively in treatment group and 3.49 % and 7.15% in placebo group. Decrease in OTUs  
400 of family Veillonellaceae in post intervention samples in treatment group and increase in  
401 placebo group were observed. The average % OTUs of family Enterobacteriaceae was 4.69  
402 % and 3.14 % in pre and post intervention sample respectively in treatment group and 9.79%  
403 and 2.41 % respectively in placebo group. A significant decrease was observed in OTUs of  
404 family Enterobacteriaceae in post intervention samples in placebo group. The average %  
405 OTUs of family Lactobacillaceae was 1.12% and 2.03% in pre and post intervention sample  
406 respectively in treatment group and 6.36 % and 3.22 % respectively in placebo group.  
407 Increase in OTUs of family Lactobacillaceae in post intervention samples in treatment group  
408 and decrease in placebo group were observed. The average % OTUs of family  
409 Bifidobacteriaceae was 1.74% and 3.55% in pre and post intervention sample respectively in  
410 treatment group and 7.71% and 1.61% respectively in placebo group. Increase in OTUs of  
411 family Bifidobacteriaceae in post intervention samples in treatment group and decrease in  
412 placebo group were observed.

413 **Figure 4: Abundance of major bacterial families**



414

415

416 **Genera:** The average % OTUs of genus *Prevotella* were 26.37% and 22.10% in pre and post  
 417 intervention sample respectively in treatment group and 10.28% and 25.57% respectively in  
 418 placebo group. Decrease in OTUs of genus *Prevotella* in post intervention samples in  
 419 treatment group and an increase in placebo group was observed. The average % OTUs of  
 420 genus *Bacteroides* were 4.88% and 6.13% in pre and post intervention sample respectively in  
 421 treatment group and 19.96% and 7.89% respectively in placebo group. An increase in OTUs  
 422 of genus *Bacteroides* in post intervention samples in treatment group and decrease in placebo  
 423 group were observed. Average % OTUs of genus *Faecalibacterium* were 6.56% and 6.87%  
 424 in pre and post intervention sample respectively in treatment group and 9.23% and 8.01%  
 425 respectively in placebo group. An increase in OTUs of genus *Faecalibacterium* in post  
 426 intervention samples in treatment group and decrease in placebo group were observed. The  
 427 average % OTUs of genus *Lactobacillus* were 1.21% and 2.59% in pre and post intervention  
 428 sample respectively in treatment group and 7.33% and 3.49% respectively in placebo group.  
 429 An increase in OTUs of genus *Lactobacillus* in post intervention samples in treatment group  
 430 and decrease in placebo group were observed. The average % OTUs of genus

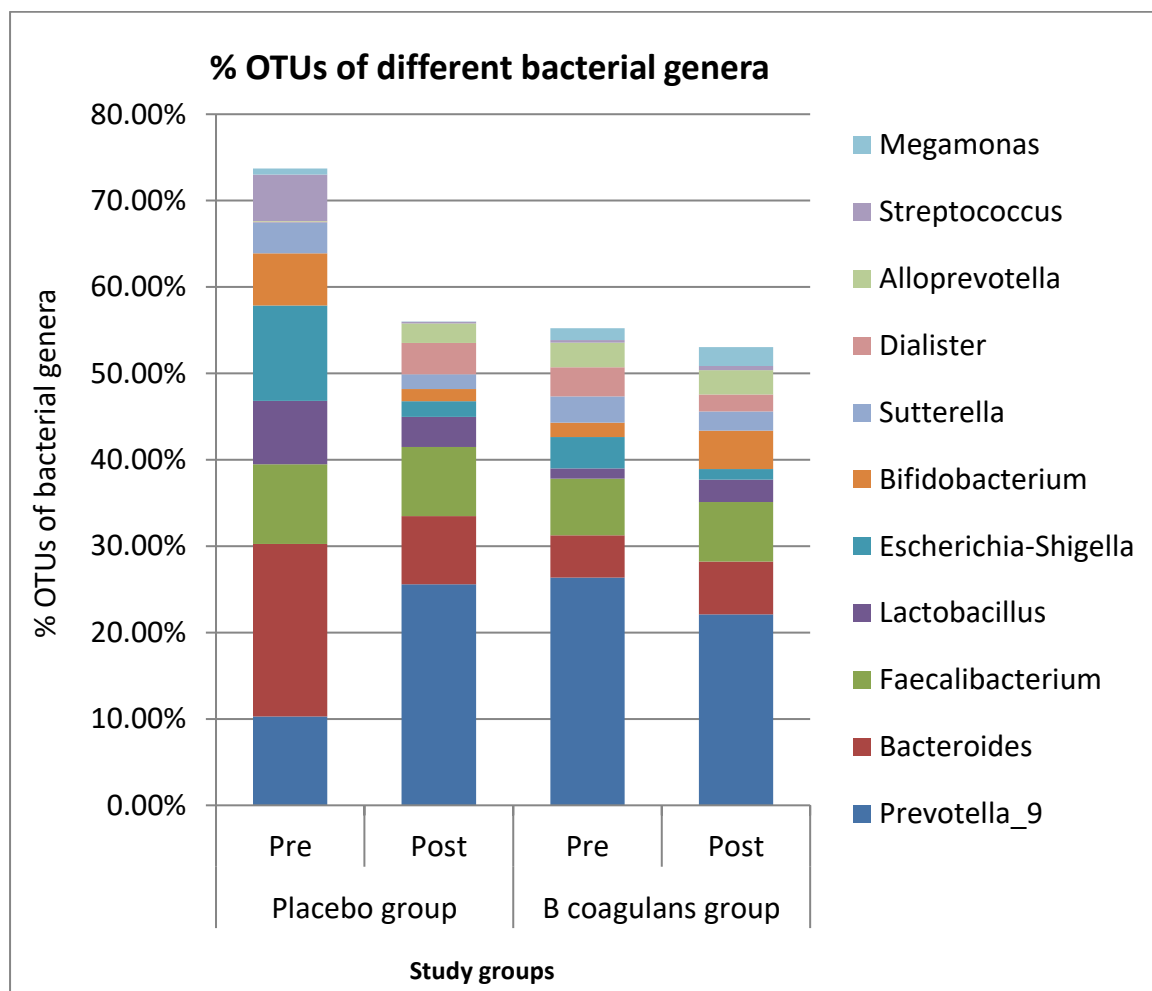


431 *Bifidobacterium* were 1.67% and 4.44% in pre and post intervention sample respectively in  
432 treatment group and 6.03% and 1.42% respectively in placebo group. An increase in OTUs  
433 of genus *Bifidobacterium* in post intervention samples in treatment group and decrease in  
434 placebo group were observed. The average % OTUs of genus *Bacillus* were 0.01% and  
435 0.04% in pre and post intervention sample respectively in treatment group and 0.001% and  
436 0.001% respectively in placebo group. OTUs of Genus *Bacillus* in post intervention samples  
437 in treatment group were high while OTUs of genus *Bacillus* in placebo group were very low.  
438 The average % OTUs of genus *Faecalibacterium* were 6.56% and 6.87% in pre and post  
439 intervention sample respectively in treatment group and 9.23% and 8.01% respectively in  
440 placebo group. An increase in OTUs of genus *Faecalibacterium* in post intervention samples  
441 in treatment group and decrease was observed in placebo group. The average % OTUs of  
442 genus *Bacteroides* were 4.88% and 6.13% in pre and post intervention sample respectively in  
443 treatment group and 19.96% and 7.89% in placebo group. An increase in OTUs of genus  
444 *Bacteroides* in post intervention samples in treatment group and decrease in placebo group  
445 was observed. The average % OTUs of genus *Escherichia* were 3.61% and 1.24% in pre and  
446 post intervention sample respectively in treatment group and 11.06% and 1.81% in placebo  
447 group. A decrease in OTUs of genus *Escherichia* was observed in post intervention samples  
448 in treatment and placebo group. The average % OTUs of genus *Sutterella* were 3.05% and  
449 2.22% in pre and post intervention sample respectively in treatment group and 3.60% and  
450 1.68 % in placebo group. A decrease in OTUs of genus *Sutterella* was observed in post  
451 intervention samples in both treatment and placebo group. The average % OTUs of genus  
452 *Dialister* were 3.37% and 1.98% in pre and post intervention sample respectively in treatment  
453 group and 0.09% and 3.66% respectively in placebo group. A decrease in OTUs of genus  
454 *Dialister* was observed in post intervention samples in treatment group and increase in  
455 placebo group. The average % OTUs of genus *Megamonas* were 1.39% and 2.17% in pre  
456 and post intervention sample respectively in treatment group and 0.73% and 0.001%  
457 respectively in placebo group. An increase in OTUs of genus *Megamonas* was observed in  
458 post intervention samples in treatment group and decrease in placebo group. The average %  
459 OTUs of genus *Roseburia* were 0.82% and 0.45% in pre and post intervention sample  
460 respectively in treatment group and 1.18% and 2.46% in placebo group. A decrease in OTUs  
461 of genus *Roseburia* was observed in post intervention samples in treatment group and  
462 increase in placebo group. The average % OTUs of genus *Megasphaera* were 2.37% and  
463 0.36% in pre and post intervention sample respectively in treatment group and 2.02% and  
464 1.97% in placebo group. A decrease in OTUs of genus *Megasphaera* was observed in post

465 intervention samples in both treatment and placebo group. The average % OTUs of genus  
 466 *Lachnospira* were 0.42% and 0.34% in pre and post intervention sample respectively in  
 467 treatment group and 1.49% and 2.83% in placebo group. An increase in OTUs of genus  
 468 *Lachnospira* was observed in post intervention samples in treatment group and decrease in  
 469 placebo group. The average % OTUs of genus *Blautia* were 0.25% and 0.40% in pre and  
 470 post intervention sample respectively in treatment group and 0.12% and 0.25% in placebo  
 471 group. An increase in OTUs of genus *Blautia* was observed in post intervention samples in  
 472 both treatment and placebo group. The average % OTUs of genus *Alistipes* were 0.08% and  
 473 0.37% in pre and post intervention sample respectively in treatment group and 0.12% and  
 474 0.04% in placebo group. An increase in OTUs of genus *Alistipes* in post intervention  
 475 samples in treatment group and decrease in placebo group were observed.

476

477 **Figure 5: Abundance of major bacterial genera**



478

## 479 Cytokines levels

480 Serum concentrations of different cytokines were assessed before and after  
481 intervention and results are expressed as Mean  $\pm$  SD. In the probiotic treated group serum  
482 levels of IL10 were  $3.23 \pm 1.22$  pg/ml and  $9.25 \pm 2.6$  pg/ml before and after intervention  
483 respectively with the difference being significant ( $p < 0.05$ ). In the placebo group serum  
484 IL10 levels were  $2.47 \pm 1.19$  pg/ml and  $2.97 \pm 1.67$  pg/ml before and after intervention  
485 respectively, this difference was not significant ( $p = ns$ ). Results indicated that the secretion  
486 of IL-10 in IBD-UC patients was increased in *B coagulans* Unique IS2 group. In the  
487 treatment group serum IL6 levels were  $34.13 \pm 6.8$  pg/ml and  $17.64 \pm 5.2$ pg/ml before and  
488 after intervention respectively with the difference being significant ( $p < 0.05$ ). In the placebo  
489 group serum IL6 levels were  $35.85 \pm 7.6$ pg/ml and  $28.49 \pm 6.4$ pg/ml before and after  
490 intervention respectively and this difference was not significant ( $p = ns$ ). The observed  
491 results indicated that the secretion of IL-6 in IBD-UC patients was decreased in *B coagulans*  
492 Unique IS2 group. In the probiotic treated group, serum IL17 levels were  $42.82 \pm 6.9$ pg/ml  
493 and  $28.57 \pm 5.4$ pg/ml before and after intervention respectively with the difference being  
494 significant ( $p < 0.05$ ). In the placebo group serum IL17 levels were  $36.73 \pm 13.73$  pg/ml and  
495  $33.96 \pm 14.74$  pg/ml before and after intervention respectively with the difference not being  
496 significant ( $p = ns$ ). The observed results indicated that the secretion of serum IL-17 was  
497 decreased in IBD-UC patients in the probiotic group. In the probiotic treated group serum  
498 IL23 levels were  $938.39 \pm 56.57$  pg/ml and  $842.20 \pm 69.43$  pg/ml before and after  
499 intervention respectively with the difference not being significant. In the placebo group  
500 serum IL23 levels were  $951.59 \pm 61.31$ pg/ml and  $932.02 \pm 43.30$  pg/ml before and after  
501 intervention respectively with the difference not being significant ( $p = ns$ ).

502 In the treatment group serum IL-1 $\beta$  levels were  $358.58 \pm 39.29$  pg/ml and  $267.28 \pm$   
503  $33.88$  pg/ml before and after intervention respectively with the difference being significant ( $p$   
504  $< 0.05$ ). In the placebo group serum IL-1 $\beta$  levels were  $363.93 \pm 35.57$  pg/ml and  $382.59 \pm$   
505  $37.50$  pg/ml before and after intervention respectively with the difference not being  
506 significant ( $p = ns$ ). The observed results indicated that the secretion of serum IL-1 $\beta$  in IBD-  
507 UC patients was increased in *B coagulans* Unique IS2 group. In the treatment group serum  
508 TNF-  $\alpha$  levels were  $80.33 \pm 13.68$  pg/ml and  $69.16 \pm 14.79$  pg/ml before and after  
509 intervention respectively with the difference not significant whereas in the placebo group  
510 serum TNF-  $\alpha$  levels were  $76.35 \pm 14.72$  pg/ml and  $79.39 \pm 13.8$  pg/ml before and after  
511 intervention respectively with the difference not being significant.

512 **Serum serotonin and dopamine levels:**

513 In the treatment group serum serotonin level were  $121.48 \pm 15.52$  ng/ml and  $111.30 \pm$   
 514  $17.74$  ng/ml before and after intervention and the difference was not significant. In the  
 515 placebo group serotonin level were  $118.12 \pm 19.25$  ng/ml and  $109.88 \pm 11.23$  ng/ml before  
 516 and after intervention and the difference was not significant. In the treatment group serum  
 517 dopamine level were  $8.51 \pm 2.52$  pg/ml and  $11.74 \pm 2.25$  pg/ml before and after intervention  
 518 and the difference was not significant. In the placebo group dopamine level were  $8.48 \pm 2.89$   
 519 pg/ml and  $10.89 \pm 2.99$  pg/ml, before and after intervention and the difference was not  
 520 significant. There were changes in serotonin and dopamine levels in the subjects before and  
 521 after intervention but the difference was not significant.

522 **Table 1: Serum Cytokines, serotonin and dopamine levels in pre and post intervention**  
 523 **samples in treatment and placebo group**

Cytokine (pg/ml)	<i>Bacillus coagulans</i> Unique IS2 group			Placebo group		
	Pre	Post	P value	Pre	Post	P value
IL-10	$3.23 \pm 1.22$	$9.25 \pm 2.6$	<0.05	$2.47 \pm 1.19$	$2.97 \pm 1.67$	NS
IL-6	$34.13 \pm 6.8$	$17.64 \pm 5.2$	<0.05	$35.85 \pm 7.6$	$28.49 \pm 6.4$	NS
IL-17	$42.82 \pm 6.9$	$28.57 \pm 5.4$	<0.05	$36.73 \pm 13.7$	$33.96 \pm 14.74$	NS
IL-23	$938.39 \pm 56.5$	$842.20 \pm 69.4$	NS	$951.59 \pm 61.3$	$932.02 \pm 43.30$	NS
IL-1 $\beta$	$358.58 \pm 39.2$	$267.28 \pm 33.8$	<0.05	$363.93 \pm 35.5$	$382.59 \pm 37.50$	NS
TNF- $\alpha$	$80.33 \pm 13.6$	$69.16 \pm 14.7$	NS	$76.35 \pm 14.7$	$79.39 \pm 13.8$	NS
Serotonin (ng/ml)	$121.48 \pm 15.5$	$111.30 \pm 17.7$	NS	$118.12 \pm 19.2$	$109.88 \pm 11.23$	NS
Dopamine (pg/ml)	$8.51 \pm 2.52$	$11.74 \pm 2.2$	NS	$8.48 \pm 2.89$	$10.89 \pm 2.99$	NS

524

525 **Effect on disease symptoms**

526 Symptoms of disease were assessed based on patient complaint and SCCAI score was  
 527 calculated for IBD-UC patients as per standard protocol. The decrease in the SCCAI score  
 528 indicates the reduction in the severity of symptoms and increase in the score indicates the  
 529 augmentation in the severity of the symptom of UC. In this study reduction of 1 value in  
 530 SCCAI score was considered as decrease in SCCAI score. The SCCAI score was decreased  
 531 post intervention in 43.75 % of the patients in the probiotic treated group which was  
 532 significantly high ( $p < 0.05$ ) as compared to placebo where the decrease in SCCAI score was  
 533 reported in 28.57 % patients.

534 **Effect on physical, behavioral and psychological parameters**

535 The enrolled subjects were assessed before and after intervention for the different  
536 physical symptoms, behavioral and psychological symptoms including stiff or tense muscles,  
537 heartburn, headache, shakiness or tremor, sleep problem, difficulty in completing work,  
538 procrastination, overwhelming, feeling of depression, trouble relaxing, nervousness, poor  
539 concentration, quick temper and restlessness. All the symptoms were evaluated based on  
540 scores, the decrease in the score indicates the reduction in the severity of symptoms and  
541 increase in the score indicates the augmentation in the severity of the symptom. In this study  
542 the complaint of muscles stiffness was reduced post intervention in 41.66 % and 29.16 %  
543 subjects in the treatment and placebo group respectively and the difference between the  
544 groups was significant ( $p < 0.05$ ). The complaint of heartburn was reduced post intervention  
545 in 43.75 % and 31.25 % subjects in the treatment and placebo group respectively and the  
546 difference between the groups was significant ( $p < 0.05$ ). The complaints of headache were  
547 reduced post intervention in 37.5 % and 33.33 % subjects in the probiotic and placebo group  
548 respectively with no significant difference between groups. The complaint of shakiness or  
549 tremor was reduced post intervention in 33.33 % and 31.25 % subjects in the treatment and  
550 placebo group with no significant difference between groups.

551 The complaint of sleep problem was reduced post intervention in 41.66 % and 27.08  
552 % subjects in the treatment and placebo group respectively with the difference between  
553 groups being significant ( $p < 0.05$ ). The complaint of procrastination was reduced in 31.25 %  
554 subjects and 35.4 % subjects in the treatment and placebo group respectively with no  
555 significant difference between groups. The complaints of difficulty in completing work or  
556 assignments was decreased post intervention in 37.5 % subjects and 27.08 % subjects in the  
557 probiotic treated and placebo group respectively with the difference between groups being  
558 significant ( $p < 0.05$ ). The complaints of overwhelming was reduced post intervention in  
559 41.66 % and 31.25 % subjects in the treatment and placebo group respectively and the  
560 difference between placebo and treatment groups was significant ( $p < 0.05$ ). The complaints  
561 of trouble relaxing was reduced post intervention in 37.5 % and 27.08 % subjects in the  
562 treatment group and placebo group respectively with the difference between groups being  
563 significant ( $p < 0.05$ ). The complaint of nervousness was reduced post intervention in 33.33  
564 % and 29.16 % subjects in the treatment and placebo group respectively with no significant  
565 difference between groups. The complaints of depression was reduced post intervention in  
566 33.33 % and 31.25 % subjects in the treatment and placebo group respectively with no  
567 significant difference between groups. The complaints of poor concentration was reduced

568 post intervention in 47.91 % and 33.3 % subjects in the treatment group and placebo group  
 569 respectively with the difference between groups being significant ( $p < 0.05$ ). The complaints  
 570 of quick temper was reduced post intervention in 45.83 % and 39.58 % subjects in the  
 571 treatment group and placebo group respectively with no significant difference. The  
 572 complaints of restlessness was reduced post intervention in 47.91 % and 35.4 % subjects in  
 573 the treatment group and placebo group respectively with the difference between groups being  
 574 significant ( $p < 0.05$ ). The observed results exhibited improvement in various physical,  
 575 behavioral and psychological symptoms of enrolled IBD subjects in the treatment group.

576 **Table 2: Post intervention decrease in symptoms in the enrolled subjects for different**  
 577 **physical, behavioral and psychological parameters**

578

Physical, behavioural and psychological parameters	Post intervention decrease in symptoms (% of total subjects)		
	<i>Bacillus coagulans</i> Unique IS2 group	Placebo group	P value
Muscles stiffness	41.66 %	29.16 %	<0.05
Heartburn	43.75	31.25 %	<0.05
Headache	37.5 %	33.33 %	NS
Shakiness or tremor	33.33 %	31.25 %	NS
Sleep problem	41.66 %	27.08 %	<0.05
Procrastination	31.25 %	35.4 %	NS
Difficulty in completing work or assignments	37.5 %	27.08 %	<0.05
Overwhelming	41.66 %	31.25 %	<0.05
Trouble relaxing	37.5 %	27.08 %	<0.05
Nervousness	33.33 %	29.16 %	NS
Depression	33.33 %	31.25 %	NS
Poor concentration	47.91 %	33.3 %	<0.05
Quick temper	45.83 %	39.58 %	NS
Restlessness	47.91 %	35.4 %	<0.05

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580 **Safety evaluations:** During and after intervention no adverse events were observed, recorded  
 581 and reported in the study which further established the safety of *B coagulans* Unique IS2.

## Discussion

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Targeted microbiota intervention through probiotics and fecal microbiota transplantation are considered as effective therapeutic methods for IBD<sup>12, 32</sup>. Mechanisms of action of probiotics in IBD prevention include, increase in beneficial bacteria, inhibition of pathogenic bacteria, immuno-modulation, augmentation of anti-inflammatory responses and enhancement of the intestinal barrier function<sup>14</sup>. Studies have demonstrated that probiotics play an important role in human health and that enhance the interest of researchers in the preventive and therapeutic applications of probiotics<sup>33</sup>. Probiotic effects are strain specific therefore the efficacy of each probiotic strain needs to be evaluated and studies with different probiotic agents are necessary to identify safe and effective probiotic with therapeutic potential for IBD.

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*Bacillus* species are spores forming due to which they have high heat resistance, acid tolerance and they can survive considerably better than other probiotics in gastric conditions<sup>(34, 35)</sup>. *B. coagulans* Unique IS2 is a non-toxic commercial probiotic strain with proven safety and efficacy, long shelf life and stability at room temperature<sup>24</sup>. Whole genome sequence analysis of *B. coagulans* Unique IS-2 has corroborated its safety with the absence of any toxin genes<sup>(34)</sup>. In this study, no severe adverse event was detected, which establish the safety of *B. coagulans* Unique IS-2. Safety and therapeutic efficacy of *B. coagulans* Unique IS2 has been proven through various clinical trials on different disease including Irritable bowel syndrome in adult<sup>27</sup> and children<sup>25</sup>, acute-diarrhea<sup>23</sup>, abdominal pain<sup>35</sup>, constipation<sup>27</sup>, bacterial vaginosis<sup>26</sup>, anti-hypercholesterolemic effect<sup>22</sup> and liver cirrhosis<sup>36</sup>. Anti-inflammatory and anti-proliferative effects activity also strengthened the therapeutic applicability of the strain<sup>37</sup> and in colon cancer cells<sup>38</sup>.

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Post intervention, significant detection of *Bacillus coagulans* in the probiotic treated group demonstrating that this probiotic strain was able to survive in GI tract of IBD patients. Studies have reported that beneficial bacteria provide protection to host against colonization of harmful bacteria and suppress the growth of pathogens by imposing competition for shared niches and nutrients<sup>39</sup>. Results of the present study indicated that *B. coagulans* Unique IS-2 was able to modulate the gut microbiota by increasing beneficial bacteria. Another study also reported that consumption of *B. coagulans* was capable of restoring the microbial imbalance<sup>40</sup> and able to increase populations of *Lactobacillus* and *Bifidobacteria*<sup>41, 33</sup> possibly by consuming free oxygen in the intestine and reduces redox reactions, creating an unfavorable anaerobic and acidic environment to various pathogens<sup>33</sup>.

616 The gut bacterial diversity was also assessed by Next Generation Sequencing and  
617 metagenomics in both the groups. Results of the study revealed that phylum Firmicutes,  
618 Bacteroidetes, Proteobacteria, Actinobacteria, Euryarchaeota and Verrucomicrobia were  
619 abundant in both the study group. Other studies have also reported that Indian gut  
620 microbiome is dominated by these bacterial phyla including Firmicutes, Bacteroidetes,  
621 Actinobacteria and Proteobacteria<sup>42, 43</sup>. An increase in the abundance of phylum Firmicutes  
622 and phylum Bacteroidetes was observed in post intervention sample in both groups.  
623 Decrease in abundance of phylum Proteobacteria and phylum Actinobacteria was observed in  
624 post intervention sample in both groups. Other studies also reported that the decrease of  
625 Bacteroides and Firmicutes and increase of Proteobacteria and Actinobacteria in GI disease  
626<sup>44, 45</sup>. It is believed that inflammation is an oxidative state which might promote the  
627 outgrowth of aerotolerant taxa such as Proteobacteria and Actinobacteria<sup>46</sup>. The abundance  
628 of phylum Euryarchaeota and Verrucomicrobia were increased in post intervention sample in  
629 treatment group and decrease in placebo group. Other studies reported the differences in the  
630 gut microbiota composition, diversity and the relative abundance of specific bacterial taxa  
631 between IBD patients and healthy individuals<sup>46</sup>.

632 The abundance of *Lactobacillus*, *Bifidobacterium* and *Bacillus* genera was increased  
633 in post intervention samples in treatment group and decrease in placebo group. Lactic acid  
634 bacteria and *Bifidobacteria* are among the first colonizers of newborns<sup>47</sup> and are known for  
635 their beneficial effects<sup>48</sup>. The observed high abundance of *Lactobacillus*, *Bifidobacterium*  
636 and *Bacillus* genera in treatment group indicated that given probiotic help the gut in restoring  
637 these beneficial bacteria. Studies reported decreased abundance of *Faecalibacterium* in IBD  
638<sup>49, 50</sup>. We observed an increase in abundance of genus *Faecalibacterium* in post intervention  
639 samples in treatment group and decrease in placebo group which indicates the given probiotic  
640 help the gut in restoring them. Bacterial genera *Lactobacillus*, *Bifidobacterium*, and  
641 *Faecalibacterium* have been reported to be protective for mucosal inflammation in the host<sup>11</sup>,  
642<sup>51</sup> via several mechanisms, including the up-regulation of the anti-inflammatory cytokine, and  
643 down-regulation of inflammatory cytokines<sup>52</sup>. Studies reported decrease in the genera  
644 Bacteroides in IBD<sup>53 14</sup>. We observed an increase in abundance of genus *Bacteroides* in post  
645 intervention samples in treatment group and decrease in placebo group which indicates that  
646 the given probiotic intervention may help to restore the genus *Bacteroides* in enrolled IBD  
647 patients. A decrease in the abundance of bacterial genera *Escherichia* and *Sutterella* was  
648 observed in post intervention samples in both treatment and placebo group. A decrease in the  
649 abundance of bacterial genera *Dialister*, *Roseburia*, *Megasphaera* was observed in post



650 intervention samples in treatment group and increase in placebo group. A decrease in the  
651 abundance of *Blautia* species in the IBD patients was reported<sup>54</sup>. We observed an increase in  
652 OTUs of genus *Blautia* in post intervention samples in both treatment and placebo group. An  
653 increase in abundance of genus *Alistipes* in post intervention samples in treatment group and  
654 decrease in placebo group were observed. Gut microbiota study in the IBD patients reported  
655 that some of the Faecalibacterium *Bacteroides* and *Alistipes* species have shown significant  
656 contribution to metabolic pathway transcription<sup>55</sup>. The abundance of some of bacterial taxon  
657 was low but these may play important role in gut function as reported earlier<sup>56</sup>.

658 The improper host immune response against GI microbiota is considered to be the  
659 main reason in causing severe inflammation<sup>33</sup>. Studies have reported the changes in the  
660 serum levels of anti-inflammatory cytokine (IL-10) and pro-inflammatory cytokines (IL-6,  
661 IL-12, TNF- $\alpha$ , INF- $\gamma$ ) in GI disorders<sup>57</sup>. However, the serum cytokine profiling of IBD  
662 patients remains less reported. In the present study significant increase was observed in IL-  
663 10 levels in treatment group which indicated that the probiotic strain was able to increase the  
664 secretion of IL-10 in IBD patients in the treatment group. Studies have reported the  
665 association of IBD patients with anti-inflammatory cytokines IL-10<sup>58</sup> and IL-10 secretion  
666 increased during disease recovery in IBD patients<sup>59</sup>. It is also reported that inactivation of  
667 IL-10 leads to increased release of pro-inflammatory cytokines<sup>60</sup>.

668 In the present study we observed the significant decrease in IL6 ( $p < 0.05$ ), IL17 ( $p$   
669  $< 0.05$ ), IL23 ( $p < 0.05$ ), and IL-1 $\beta$  ( $p < 0.05$ ), TNF- $\alpha$  in treatment group which indicated the  
670 probiotic intervention was able to modulate the secretion of pro-inflammatory cytokines.  
671 Previous studies have reported that the expression of IL-6 was predominantly detected in  
672 IBD. Increased expression of IL-6 may be an intestinal inflammatory mediator of IBD<sup>64</sup>. IL-  
673 17 induces the production of many other pro-inflammatory factors, including TNF- $\alpha$ , IL-6,  
674 and IL-1 $\beta$ , resulting in localizing and amplifying inflammation. Studies have reported that the  
675 expression of IL-6 was predominantly detected in IBD and an association between serum  
676 levels of IL-6 and disease activity<sup>61</sup>. A study reported IL6 in active UC 26 +/- 10 pg/ml and  
677 in inactive UC < 10 pg/ml<sup>62</sup> and this suggested that increased expression of IL-6 may be an  
678 intestinal inflammatory mediator of IBD. IL-17 induces the production of many other pro-  
679 inflammatory cytokines, including IL-6, TNF- $\alpha$ , and IL-1 $\beta$ , which leads to localizing and  
680 amplifying inflammation. IL-17 was reported to be increased in intestinal tissue and serum of  
681 IBD patients<sup>63, 64</sup>. IL-1 $\beta$  is a pro-inflammatory cytokines play important role in the  
682 inflammation in patients with IBD and an elevation in IL-1 $\beta$  levels are associated with  
683 increased disease severity<sup>65, 66, 67</sup>. Studies reported that the improper level of serotonin and

684 dopamine increases the severity of IBD <sup>68</sup>. Serum serotonin and dopamine were also  
685 evaluated in the enrolled patients before and after intervention and no significant change was  
686 observed in both groups.

687 Results of the study indicated that *B coagulans* Unique IS2 along with SMT was able  
688 to reduce the severity of symptom and improve physical and psychological parameters in  
689 IBD patient in the treatment group. These results are similar to another study which reported  
690 that a probiotic mixture (VSL#3) reduced the expression of inflammatory cytokines and the  
691 severity of disease in UC patients <sup>69</sup>. Few studies have reported the efficacy of probiotics for  
692 the treatment of IBD. A meta-analysis also reported that probiotics can benefit IBD  
693 treatment during combined use of probiotics and standard therapy <sup>70</sup>. A study with *B.*  
694 *coagulans* Unique IS2 in children with functional abdominal pain indicated reduction of  
695 abdominal pain in the probiotic treated group <sup>35</sup>. Another study reported *B. coagulans*  
696 Unique IS2 was effective in the treatment of IBS with a significant decrease in the intensity  
697 of pain in the probiotic treated group <sup>25</sup>. Probiotics can reduce inflammation and disease  
698 symptoms by modulation of the mucosal immune system, increased intestinal barrier  
699 function, competitive prohibition of pathogens, production of antimicrobial factors <sup>71</sup>  
700 amplification of the intestinal tight junctions to stabilize the permeability, normalize bowel  
701 movements and reduce visceral hypersensitivity <sup>72, 73, 74</sup>.

## 702 **Summary and Conclusion**

703 The results of the study showed that the *B coagulans* Unique IS-2 is able to survive in  
704 GI tract of IBD patients. *B. coagulans* Unique IS-2 was able to enhance bacterial genera  
705 *Lactobacillus*, *Bifidobacterium*, *Faecalibacterium*, *Bacteroides*, *Megamonas*, *Lachnospira*,  
706 *Blautia* and *Alistipes* in post intervention samples in the treatment group. A decrease in  
707 bacterial genera *Sutterella*, *Dialister*, *Roseburia* and *Megasphaera* was observed in post  
708 intervention samples in the treatment group. Variable alterations were also observed in the  
709 abundance of different bacterial taxon including phylum, class, order, family, and genus in  
710 the post intervention sample of the treatment group. *B coagulans* Unique IS-2 was able to  
711 modulate the secretion of serum cytokines in IBD patients. The level of IL-10 was increased  
712 significantly post intervention in treatment group. The secretion of cytokines, IL-6, IL-1 $\beta$ ,  
713 TNF-  $\alpha$ , IL -17 and IL -23 were variably decreased post intervention in the treatment group.  
714 No significant change in serum serotonin and dopamine was observed in both treatment and  
715 placebo groups. A reduction in the severity of symptoms of disease and significant  
716 improvement in the physical and psychological parameter were observed post intervention in  
717 enrolled subjects in the treatment group. Observed results demonstrated that *B coagulans*  
718 Unique IS2 showed beneficial effect in IBD-UC patients when administered along with  
719 standard medical treatment (SMT).

720  
721 **Conflict of interest statement:** RSM and JN are employed by manufacturer of probiotics  
722 (Unique Biotech Ltd) and they wish to state that the study was conducted independently with  
723 no intervention on their part during the study. All other authors declare no conflict of interest.

724  
725 **Author Contribution:** VDB- Recruited the subjects, carried out experiments, analyzed data  
726 and wrote final manuscript, DD - carried out experiments and analyzed the data, PS - carried  
727 out experiments and analyzed the data. SK clinical assessment and monitoring of subjects,  
728 RSM, JN – drafted study proposal and manuscript, VA- clinical assessment and monitoring  
729 of subjects, designed the trial, supervised the study. RC -conceptualizes the study, finalized  
730 study proposal, designed the trial and supervised the study. All the authors read and revised  
731 the manuscript and approve the final manuscript.

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